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6-8 October 2017  
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# Ventilator induced lung injury Pathophysiology and prevention

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The lungs of one man may bear, without injury, as great a force as those of another man can exert; which by the bellows cannot always be determined

**John Fothergill, 1745**

Fothergill J. Observation on a case published in the last volume of the medical essays, and c. of recovering a man dead in appearance, by distending the lungs with air. *Philos Trans R Soc Lond* 1745;43:275-81.



# Outline

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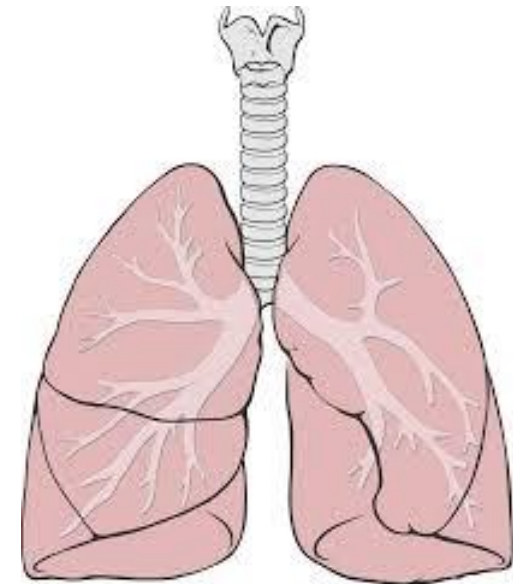
- Introduction and terminology
- Mechanisms of lung injury
- Prevention of lung injury
- Concluding remarks



# Introduction

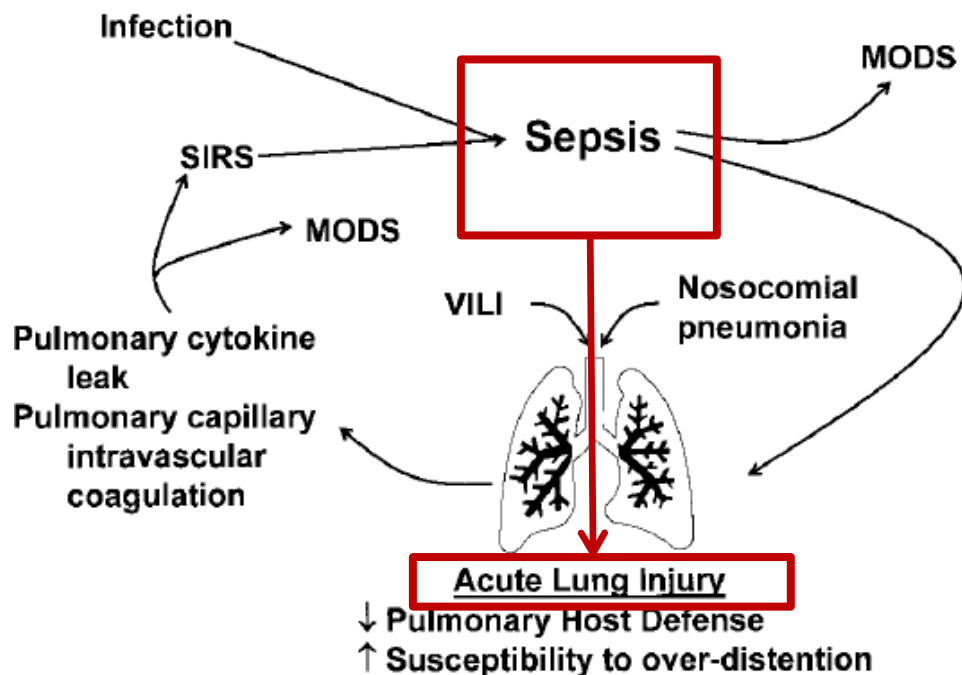
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- Vital organ responsible for gas exchange
- Weighs about 1.3 kg; dual blood circulation
- 300-500 million alveoli, 2400 km
- Entire blood volume circulates through the lung
- Ideal for participation in all activities

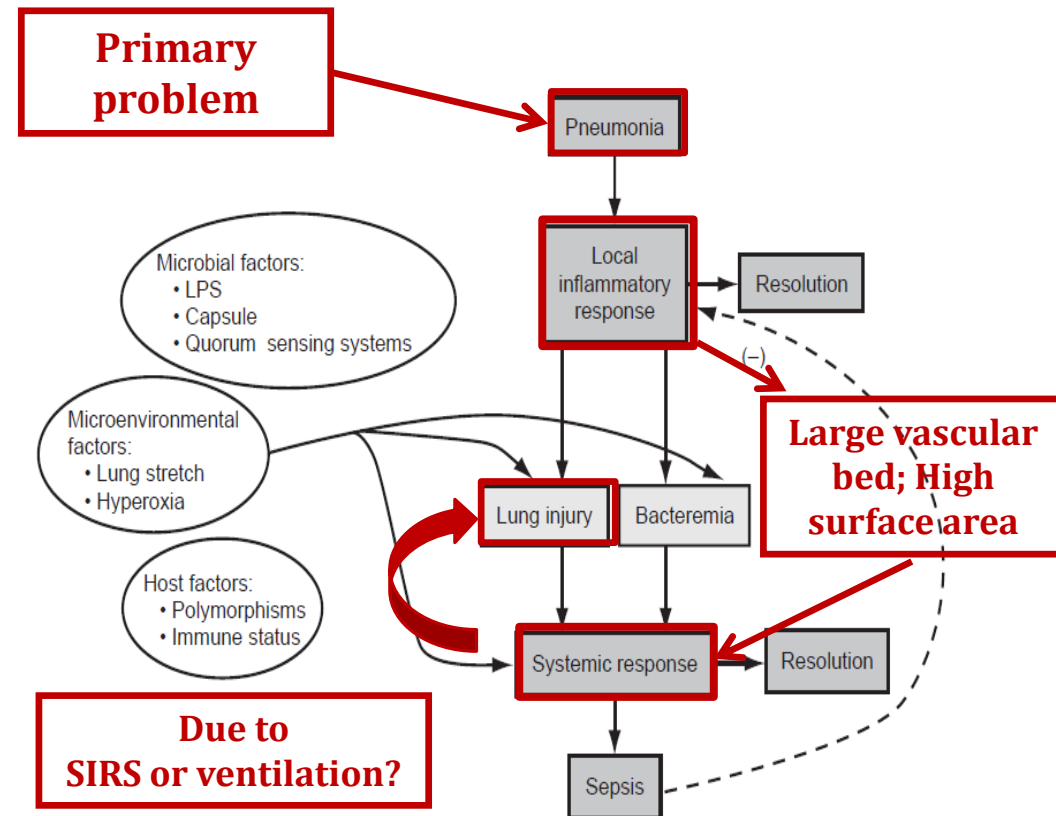




# Silent spectator or active propagator



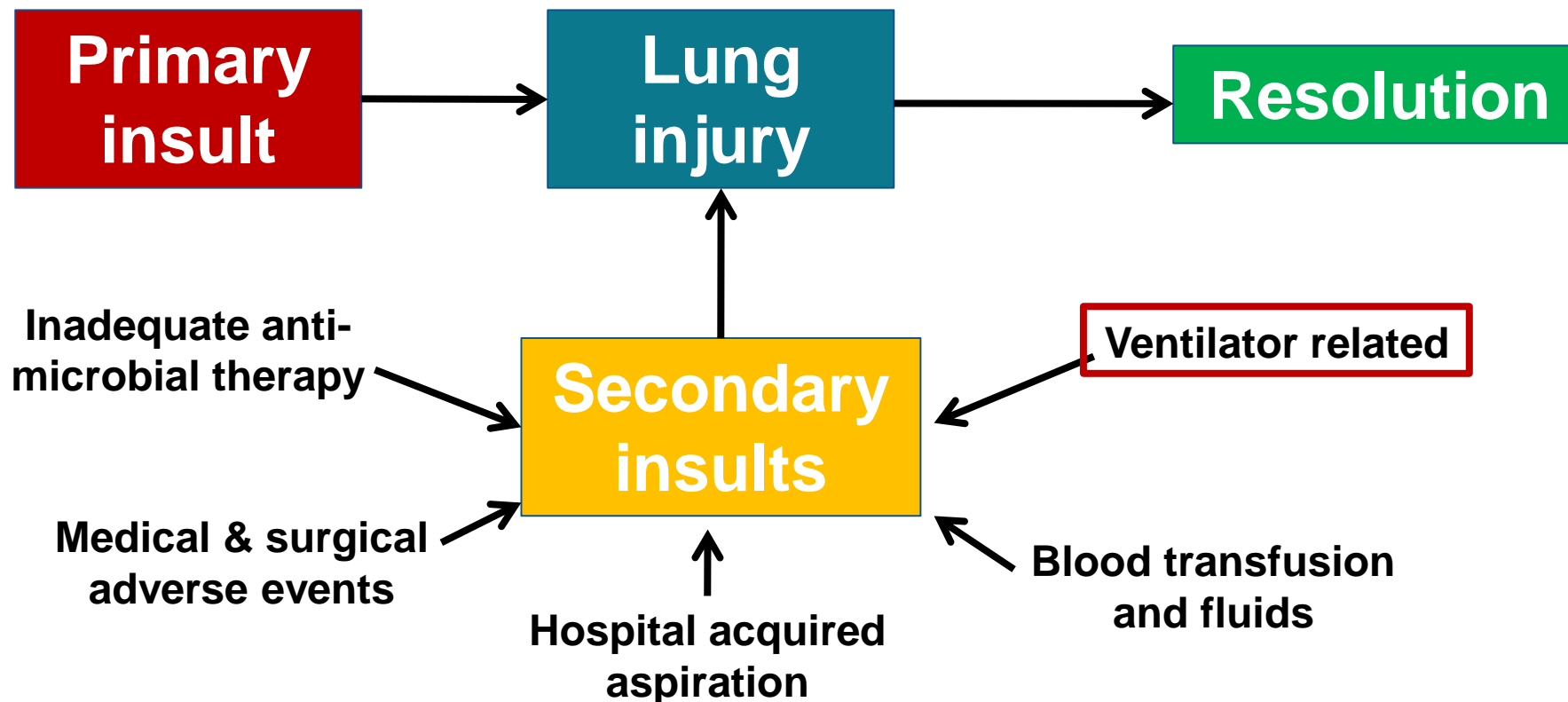
**Silent spectator?**



**Active propagator?**



# First and second hits





# Terminology

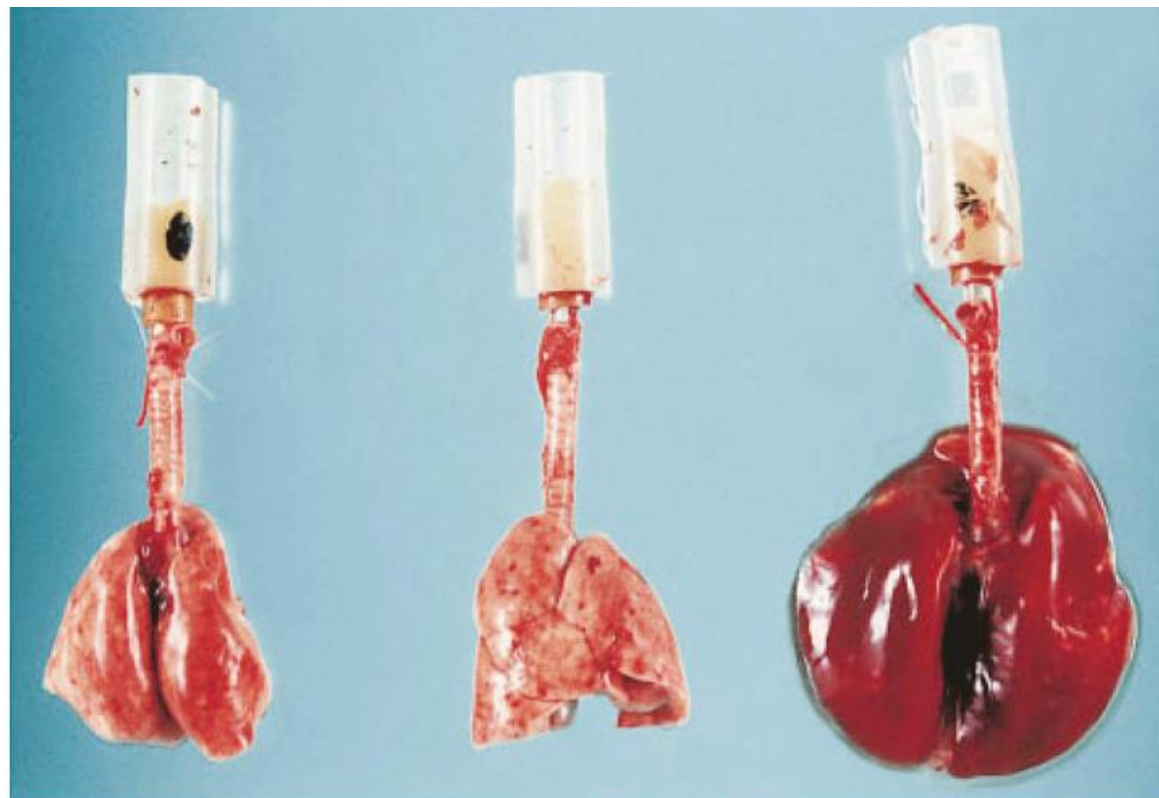
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- Ventilator induced lung injury (VILI) introduced in 1970s
- Used concurrently with Ventilator associated lung injury (VALI)
- Terms not inter-changeable
- VALI – existing lung injury exacerbated by ventilation
- VILI – injury to previously normal lungs





# Ventilator induced lung injury



**Figure 1** Macroscopic aspect of rat lungs after mechanical ventilation at 45 cm H<sub>2</sub>O peak airway pressure. *Left:* normal lungs; *middle:* after 5 min of high airway pressure mechanical ventilation. Note the focal zones of atelectasis (in particular at the left lung apex); *right:* after 20 min, the lungs were markedly enlarged and congestive; edema fluid fills the tracheal cannula. Used with permission. From Dreyfuss et al. [15].

- Rat normal lungs
- Subject to MV @ 45 cm PAP
- Enlarged and congested lungs

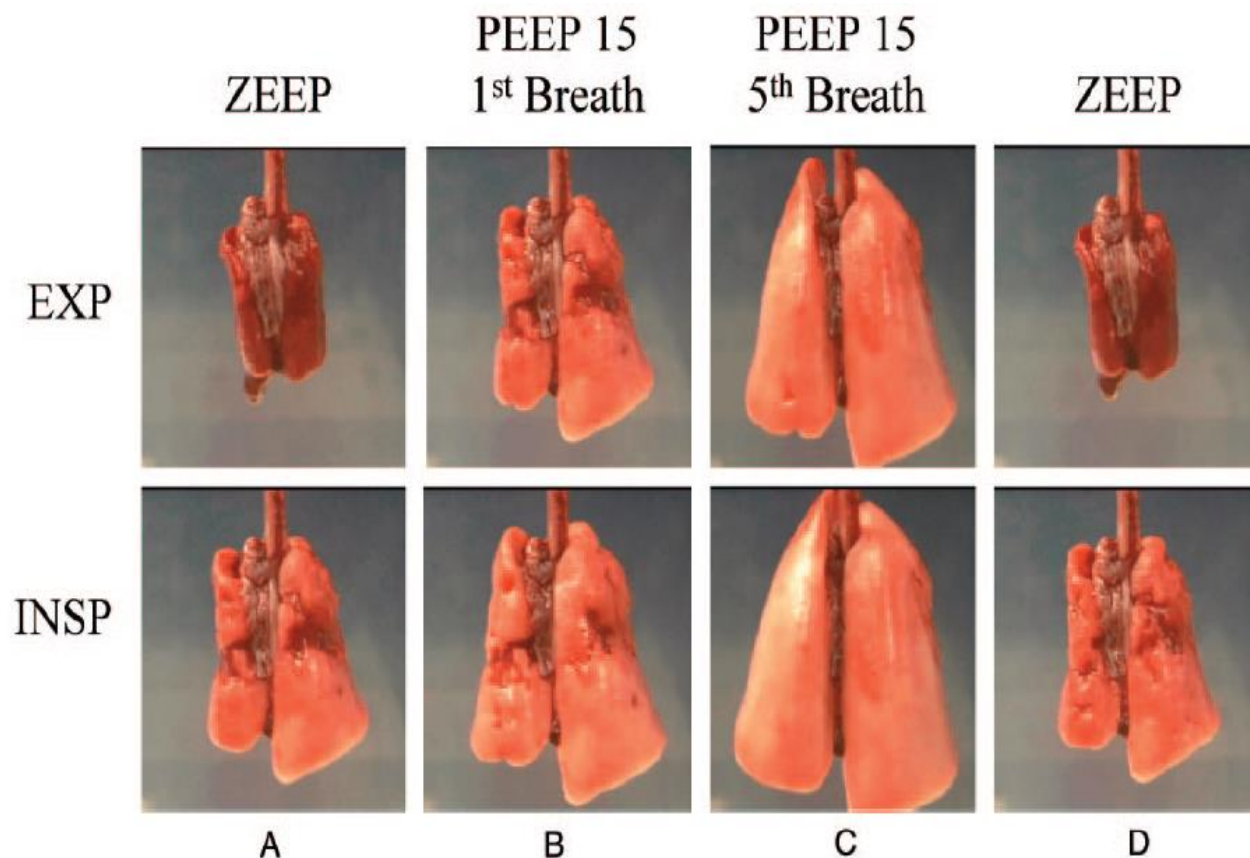
**Apply high pressure  
To normal lungs  
Lung injury**

Prost N. Ventilator induced lung injury; historical perspectives and clinical implications. *Annals of Intensive Care* 2011; 1: 28





# ZEEP ventilation and injury

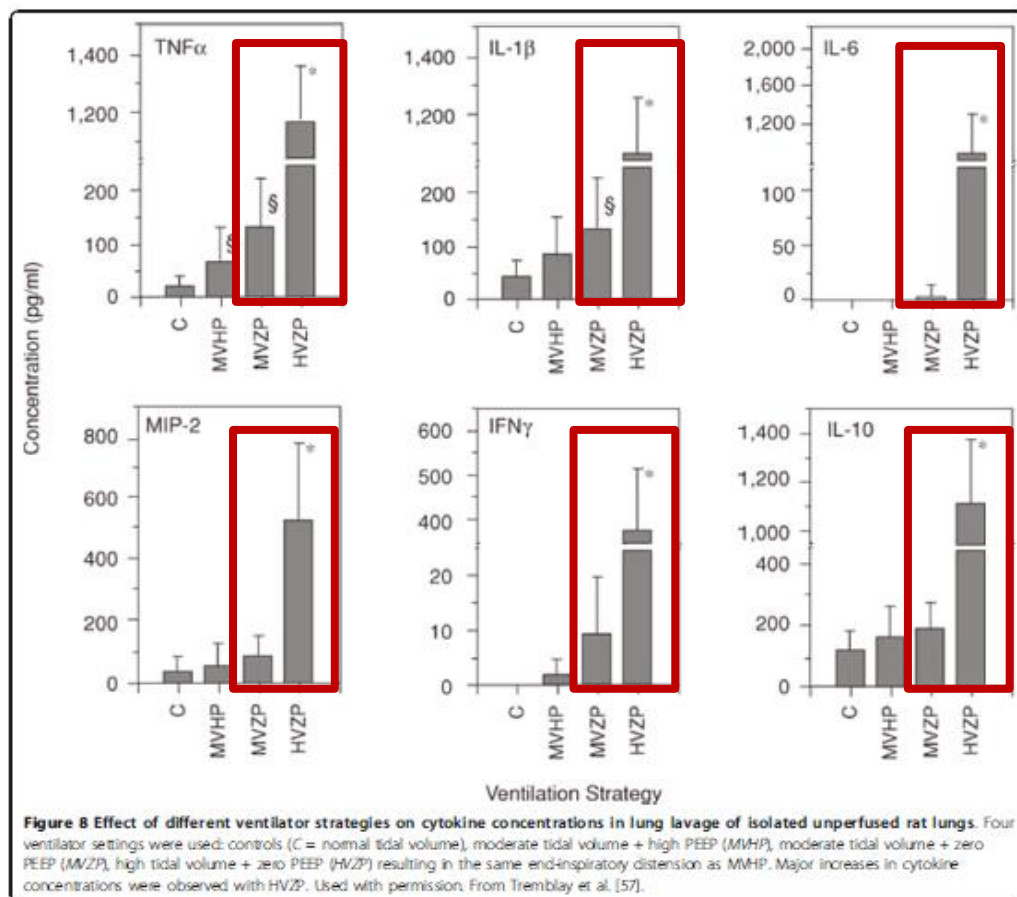


- ZEEP ventilation
- Collapsed lung
- Increased shear
- ARDS like picture rats

Slutsky AS. Ventilator induced lung injury – from barotrauma to biotrauma. *Respir Care* 2005; 50: 646-59



# ZEEP and cytokines



- Significantly higher cytokine
- With ZEEP ventilation
- Worse with high tidal volumes



# Implications

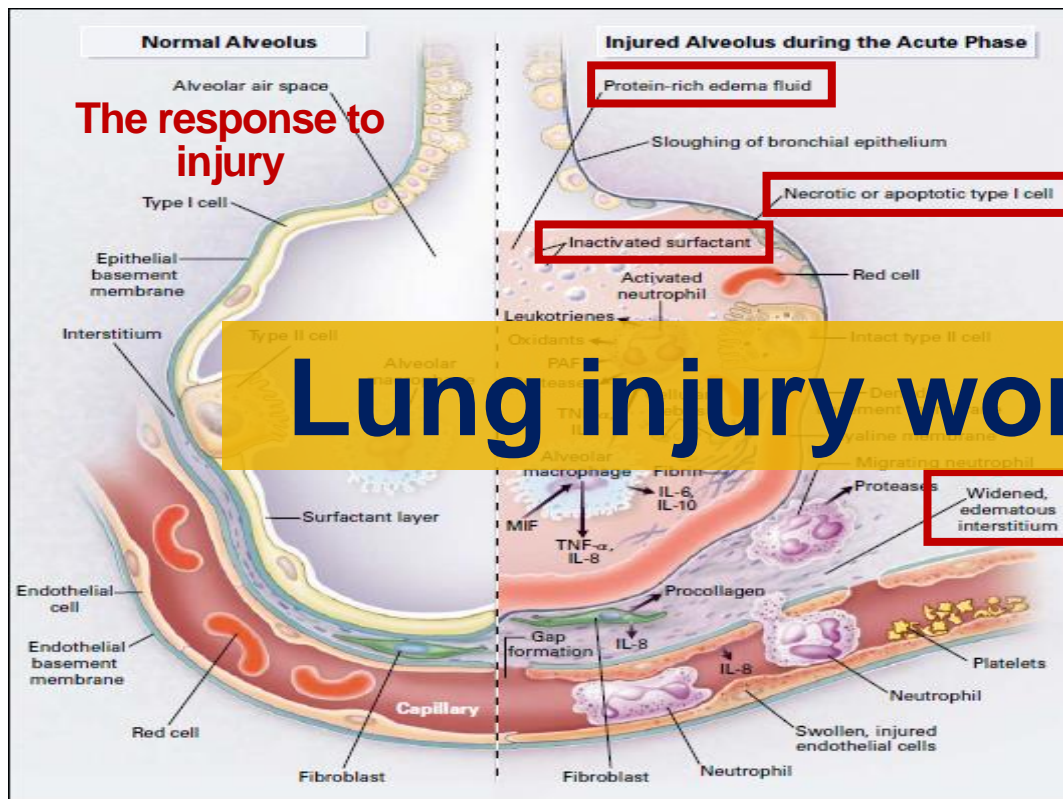
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- Lung injury even in “normal lungs”
- Take care as to how you ventilate
- Improper settings can result in lung injury
- Consequences can be significant

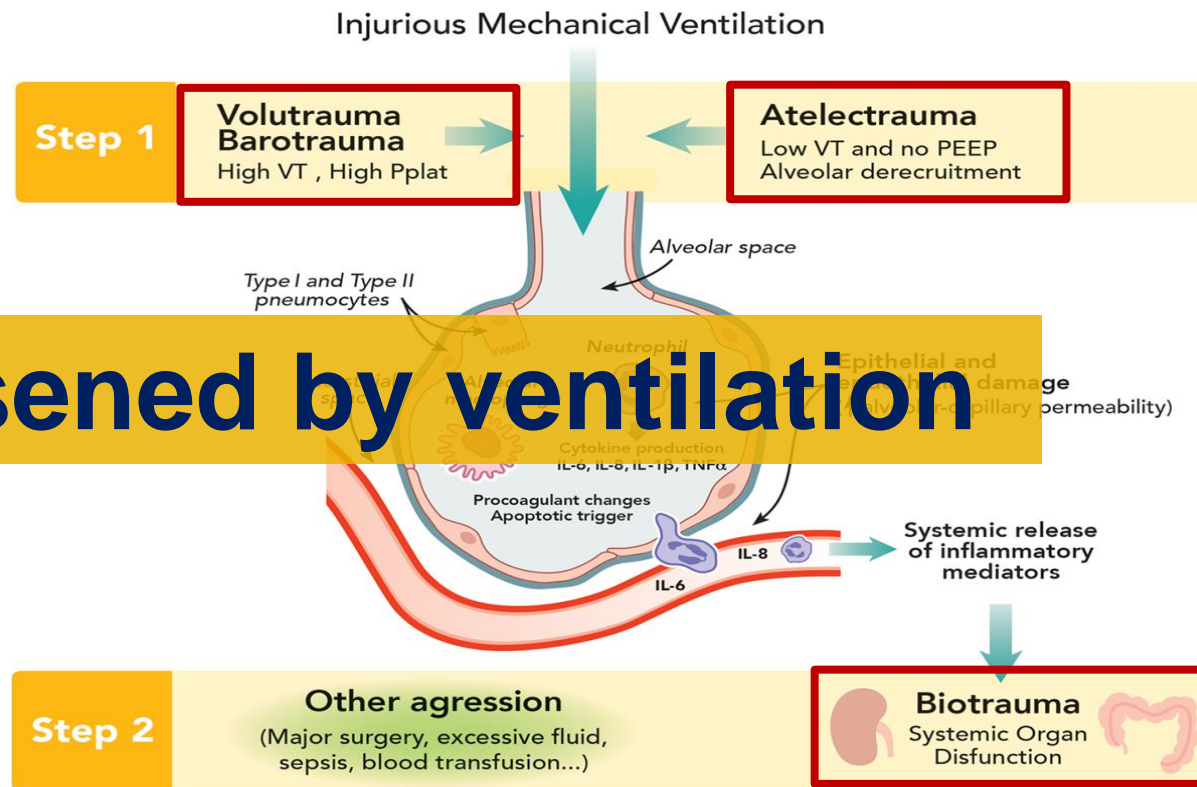
THE  
IMPLICATIONS



# Ventilator associated lung injury



**Lung injury worsened by ventilation**



**Lung injury already present**

Ware LB, et al. The acute respiratory distress syndrome. N Engl J Med 2000; 342: 1334-49.

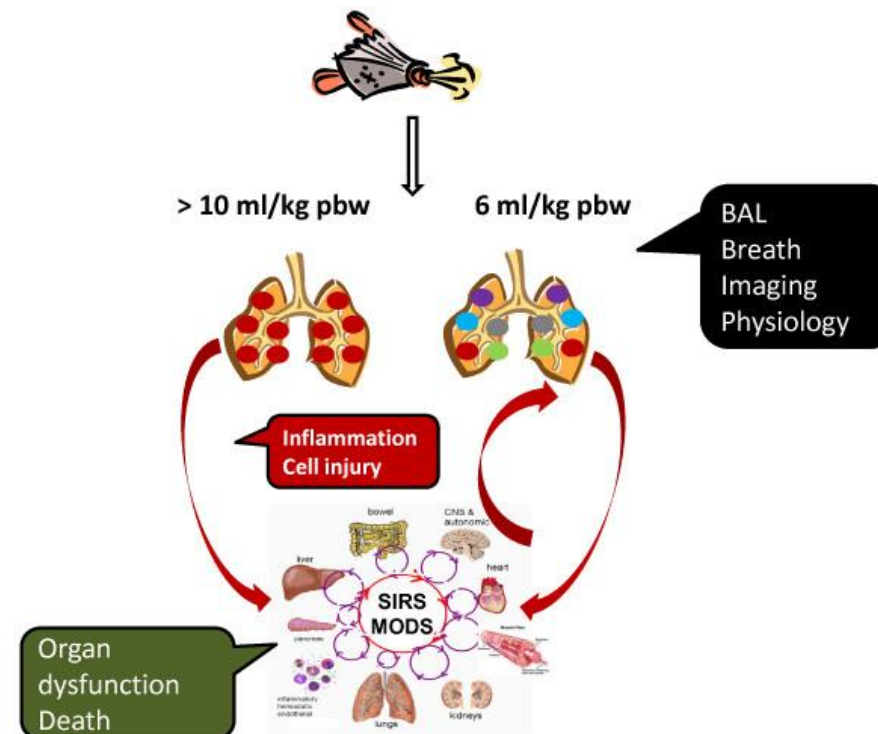
**Further injury by ventilation**

Futier T et al. Perioperative positive pressure ventilation: an integrated approach to improve pulmonary care. Anesthesiology 2014; 121 400-8.



# Components of VALI

- Volutrauma
- Barotrauma
- Atelectotrauma or atelectrauma
- Biotrauma
- Oxygen toxic effects

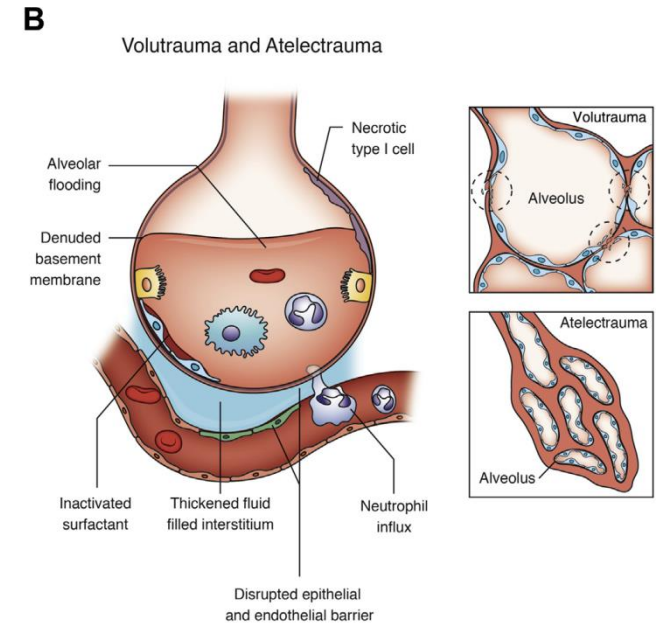


Pinhu L. Ventilator associated lung injury. Lancet 2003; 361: 332-40



# Volutrauma

- Damage caused by over-distension
- High volume or high end-inspiratory volume injury
- Rats tidal volume limited by chest straps
- No lung injury in response to high pressure
- Variables influencing peak pressures (resistance, compliance)



# Barotrauma



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- Lung injury due to high pressure
- Rats high pressure ventilation, lung injury
- Trumpet players 150 cm water pressure
- No lung injury

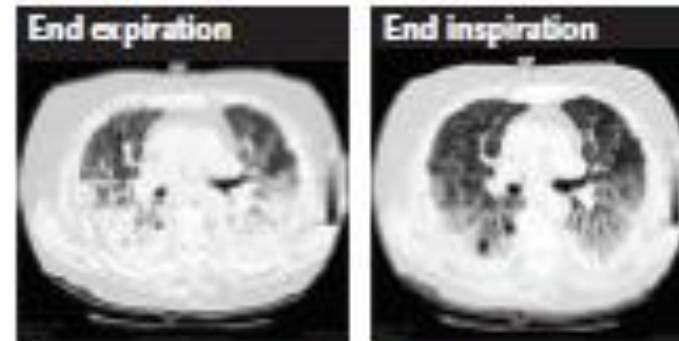




# Atelectrauma

- With repeated recruitment and collapse
- Low volume or low end-expiratory volume injury
- Prevented by using PEEP above lower inflection point

## A Ventilation at low lung volume



Atelectrauma



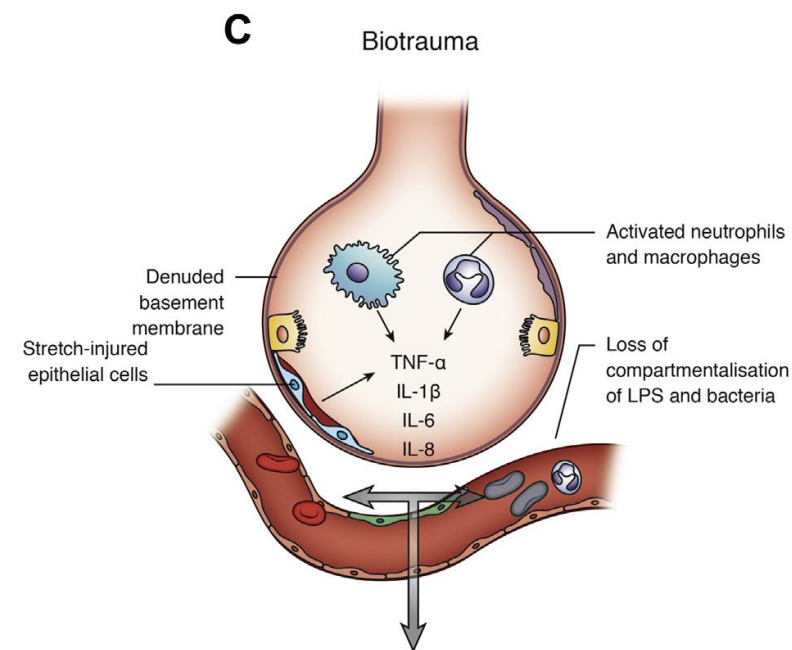
Lung inhomogeneity





# Biotrauma

- Pulmonary and systemic inflammation
- Caused by released of mediators
- From lungs subjected to ventilation



Curley GF. Biotrauma and ventilator-induced lung injury. Clinical implications. Chest 2016; 150: 1109-1117



# Oxygen toxic effects

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- Damage due to high O<sub>2</sub> concentration
- Parenchymal and airway injury
- Absorptive atelectasis
- Accentuation of hypercapnia
- Extra-pulmonary toxicity





# Consequences of lung injury

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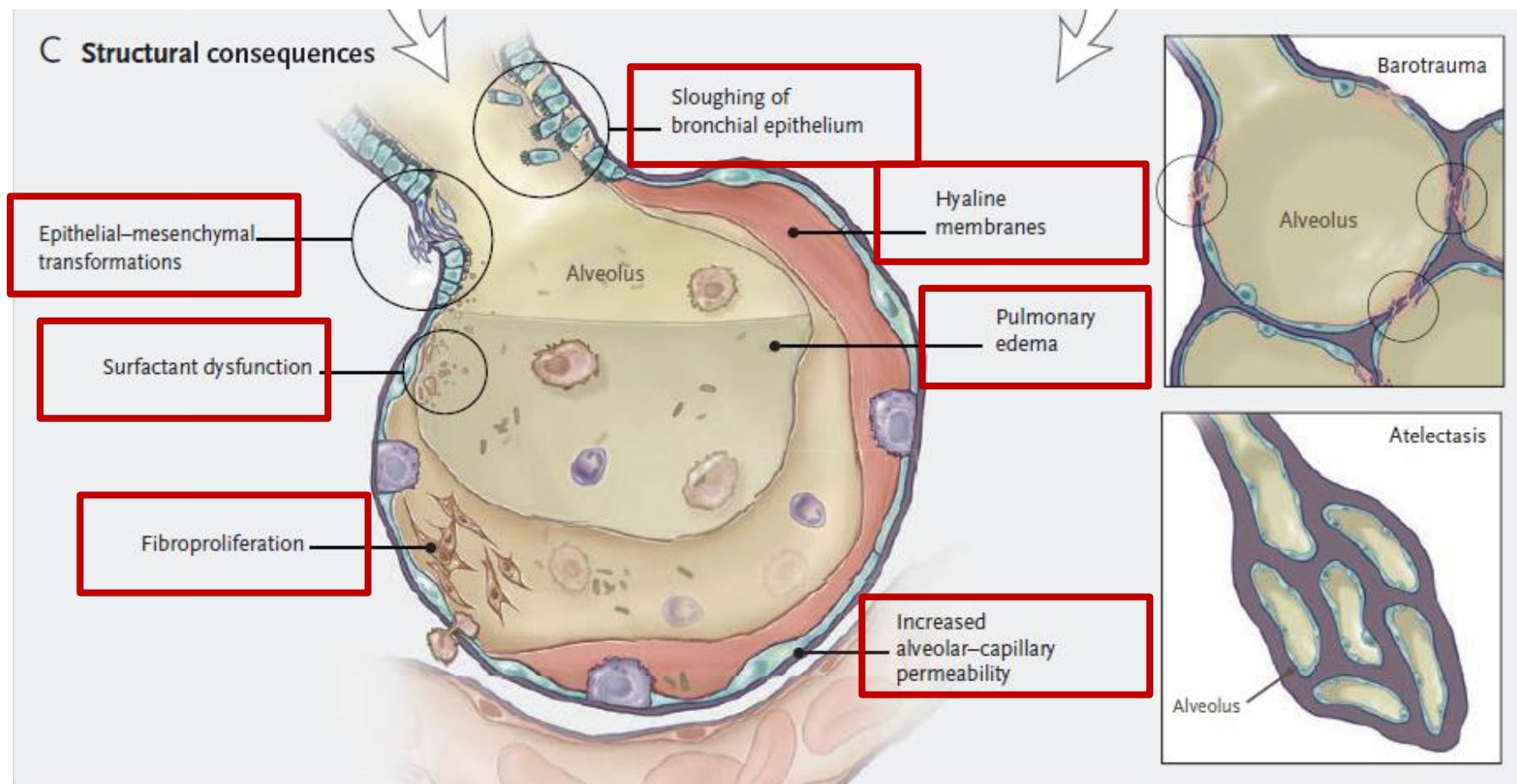
- Structural consequences
- Biological alterations
- Physiological abnormalities
- Systemic effects



Slutsky AS, Ranieri M. Ventilator-induced lung injury. *New Engl J Med* 2013; 369-2126-36



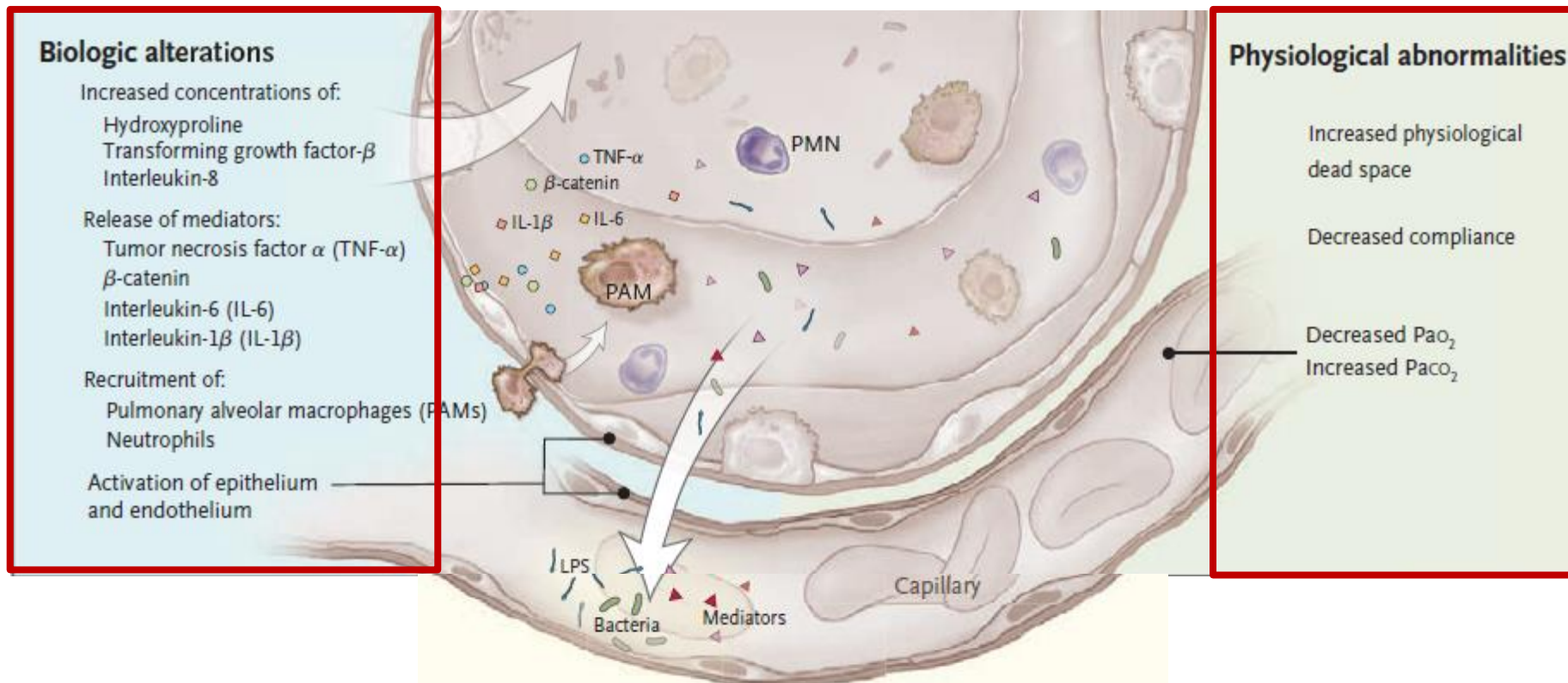
# Structural consequences



Slutsky AS, Ranieri M. Ventilator-induced lung injury. *New Engl J Med* 2013; 369-2126-36



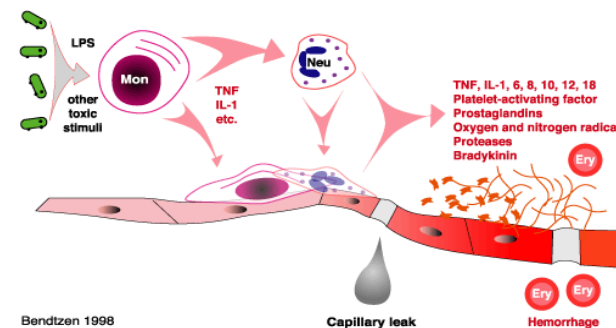
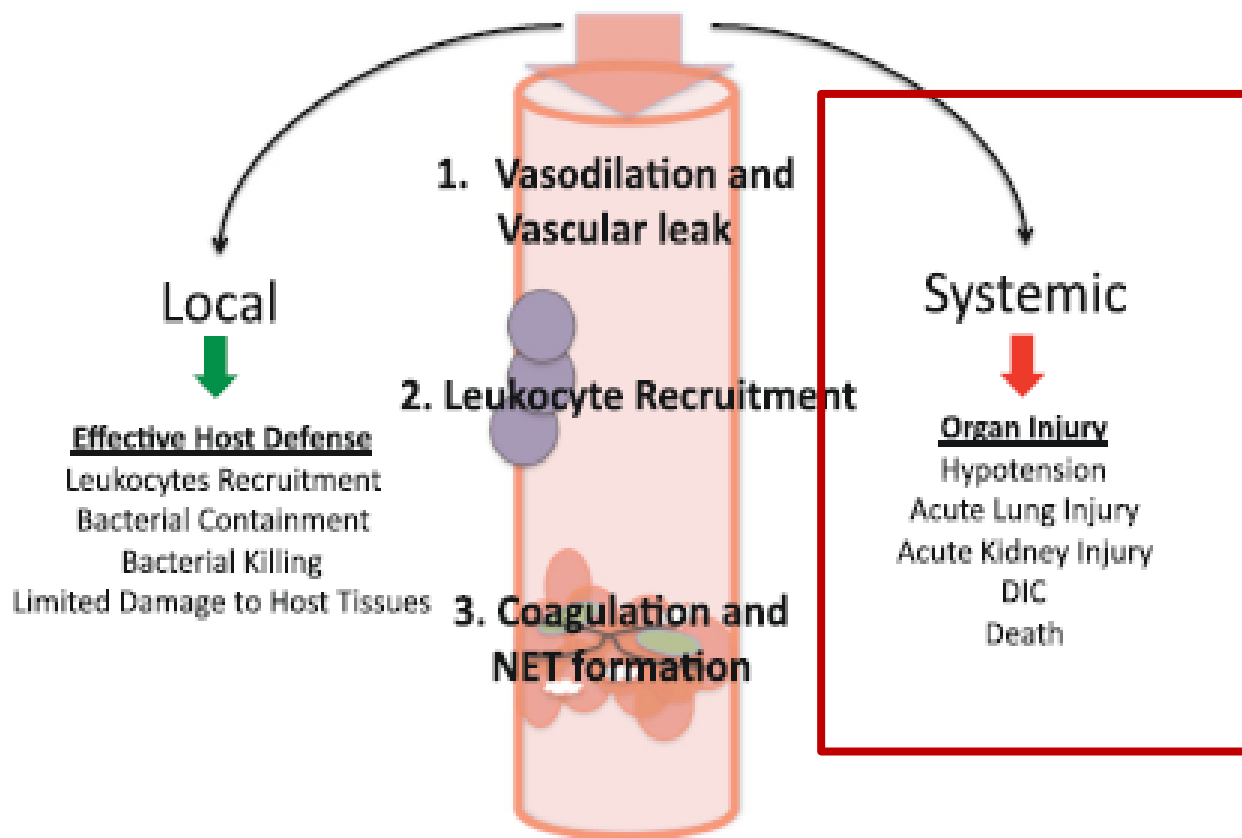
# Biologic and physiological



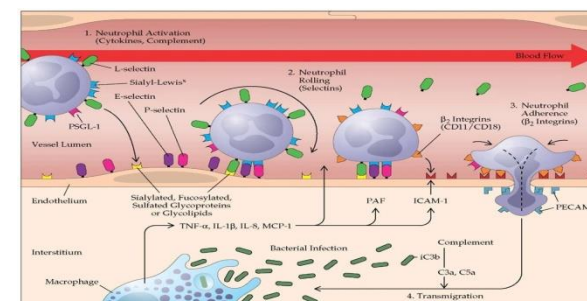
Slutsky AS, Ranieri M. Ventilator-induced lung injury. *New Engl J Med* 2013; 369-2126-36



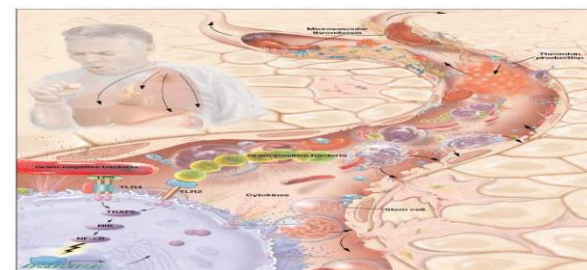
# Systemic effects



Cytokines



Leukocyte activation



Coagulation cascade

# Preventing VILI

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- What is important to prevent VILI
- Is the mode of ventilation crucial?
- Is the ventilatory strategy important





# Good ventilatory mode meets

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- Physiological goals
  - Achieving and maintaining adequate gas exchange
- Patient related goals
  - Ensuring patient comfort (synchrony)
  - Reducing work of breathing (match rapidly patient demands)
- Outcome goals
  - Minimize risk of lung injury
  - Improve patient outcomes





# The evidence for modes

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- Conventional ventilatory modes (PCV vs. VCV)
- Specialized modes of ventilation
  - Inverse ratio ventilation
  - Airway pressure release ventilation
  - High frequency oscillation



# Pressure vs. volume

**Only 3 RCTs reporting clinical outcome**

**No difference in outcomes**

Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	Number of participants (studies)	Quality of the evidence (GRADE)	Comments
	Assumed risk	Corresponding risk				
	Volume-controlled ventilation	Pressure-controlled ventilation				
Mortality in hospital	636 per 1000	528 per 1000 (426 to 649)	RR 0.83 (0.67 to 1.02)	1089 (3 studies) <sup>a</sup>	⊕⊕⊕○ Moderate <sup>b,c</sup>	
Mortality in ICU	376 per 1000	316 per 1000 (267 to 365)	RR 0.84 (0.71 to 0.99)	1062 (2 studies)	⊕⊕⊕○ Moderate <sup>b,c</sup>	
Mortality on follow-up Follow-up: 28 days	323 per 1000	284 per 1000 (236 to 342)	RR 0.88 (0.73 to 1.06)	983 (1 study) <sup>f</sup>	⊕⊕⊕○ Moderate <sup>b,c</sup>	
Duration of mechanical ventilation	See comment	See comment	Not estimable	983 (1 study) <sup>f</sup>	See comment	Skewed data presented as median (10 days) and interquartile ranges (6 days to 16 and 17 days) did not differ
Barotrauma	94 per 1000	117 per 1000 (82 to 166)	RR 1.24 (0.87 to 1.77)	1062 (2 studies) <sup>d</sup>	⊕⊕○○ Low <sup>g,h</sup>	

Chacko B, et al. Pressure-controlled vs. volume controlled ventilation for acute respiratory distress syndrome due to acute lung injury (ALI) or acute respiratory distress syndrome (ARDS). Cochrane Database Syst Rev 2015;



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# Pressure controlled inverse ratio

## Pressure-Controlled vs Volume-Controlled Ventilation in Acute Respiratory Failure A Physiology-Based Narrative and Systematic Review

*Nuttapol Rittayamai, MD; Christina M. Katsios, MD; François Beloncle, MD; Jan O. Friedrich, MD, PhD; Jordi Mancebo, MD; and Laurent Brochard, MD*

**RESULTS:** Thirty-four studies met inclusion criteria, many being at high risk of bias. Comparisons of PC-CMV/PC-IRV and VC-CMV did not show any difference for compliance or gas exchange, even when looking at PC-IRV. Calculating the oxygenation index suggested a poorer effect for PC-IRV. There was no difference between modes in terms of hemodynamics, work of breathing, or clinical outcomes.

 CHEST

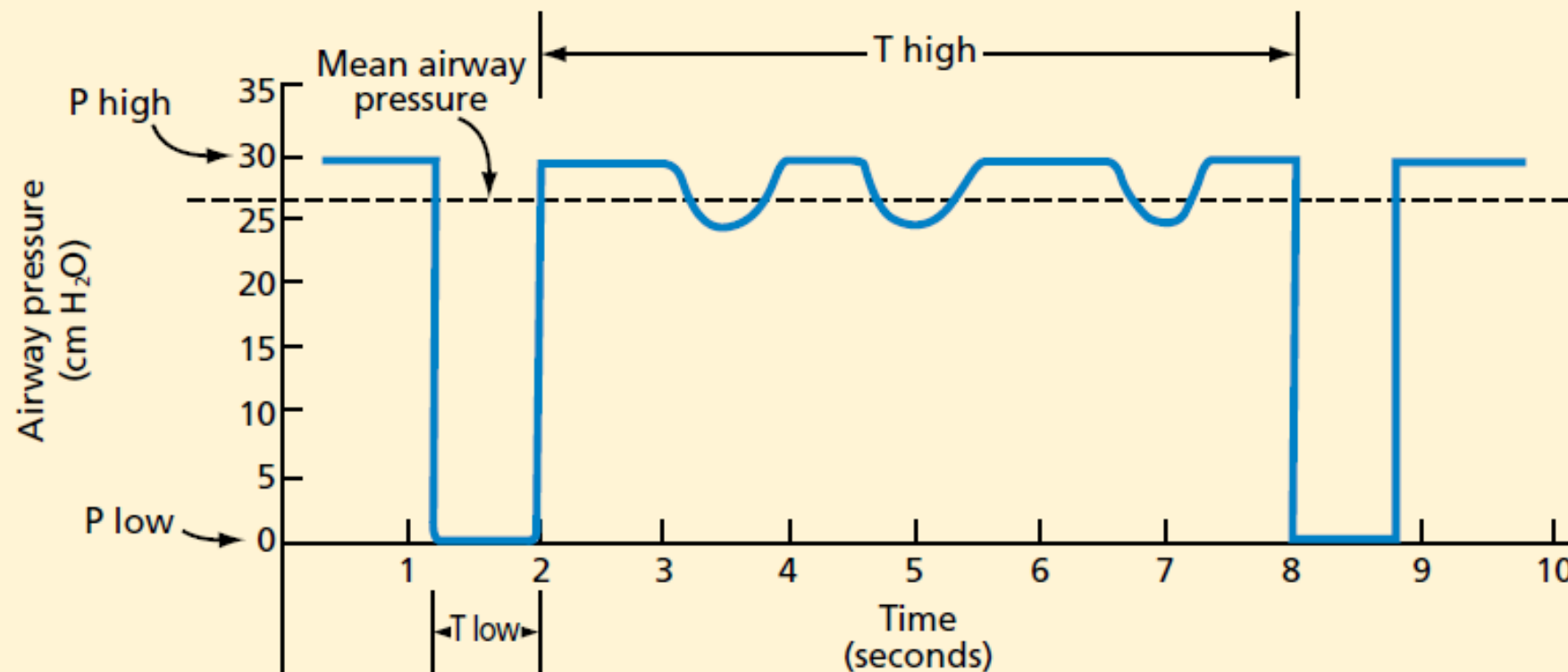
CHEST 2015; 148(2):340-355

Rittayami N et al. Pressure-controlled vs. volume controlled ventilation in acute respiratory failure. Chest 2015; 148: 340-55



# Airway pressure release (APRV)

## Airway pressure release ventilation with spontaneous breathing



Modrykamien A, et al. Airway pressure release ventilation: an alternative mode of mechanical ventilation in acute respiratory distress syndrome. *Cleveland Clinic J Med* 2011; 78: 101-10



# Airway pressure release (APRV)

## Advantages and disadvantages of each of the components of airway pressure release ventilation

COMPONENT	ADVANTAGES	DISADVANTAGES
High mean pressure	<ul style="list-style-type: none"><li>Lung recruitment, leading to better oxygenation</li><li>Reduction of left ventricular transmural pressure and therefore reduction of left ventricular afterload</li></ul>	<ul style="list-style-type: none"><li>Worsening of air leaks (bronchopleural fistula)</li><li>Increase of right ventricular afterload, worsening of pulmonary hypertension</li><li>Reduction of right ventricular venous return: may worsen intracranial hypertension, may worsen cardiac output in hypovolemia</li></ul>
Spontaneous breathing	<ul style="list-style-type: none"><li>Ventilation of dependent areas</li><li>Better venous return (increase in cardiac output)</li><li>Higher glomerular filtration rate</li><li>Better small-bowel perfusion</li><li>Lower sedation requirements</li></ul>	<ul style="list-style-type: none"><li>Increase of transpulmonary pressure might lead to volume-induced lung injury</li><li>Increase in venous return might worsen right ventricular dysfunction</li><li>Maintains work of breathing</li></ul>

Modrykamien A, et al. Airway pressure release ventilation: an alternative mode of mechanical ventilation in acute respiratory distress syndrome. *Cleveland Clinic J Med* 2011; 78: 101-10



# Airway pressure release (APRV)

## Randomized trials of airway pressure release ventilation (APRV)

TRIAL	NO. OF PATIENTS	MODES COMPARED	FINDINGS
Sydow et al (1994) <sup>37</sup>	18	APRV vs volume controlled inverse ratio ventilation	Lower peak pressure and better oxygenation with APRV
Putensen et al (2001) <sup>33</sup>	33	APRV vs pressure-controlled ventilation	Better hemodynamic level in intensive care unit days, better oxygenation, less sedation, and lower pressures with APRV
Varpula et al (2003) <sup>34</sup>	33	APRV vs pressure-controlled synchronized intermittent mandatory ventilation (both groups positioned prone for 6 h once or twice a day)	Better oxygenation in APRV group after second pronation
Varpula et al (2004) <sup>35</sup>	58	APRV vs synchronized intermittent mandatory ventilation	Lower inspiratory pressure with APRV

Modrykamien A, et al. Airway pressure release ventilation: an alternative mode of mechanical ventilation in acute respiratory distress syndrome. *Cleveland Clinic J Med* 2011; 78: 101-10

**Improvement in physiological parameters  
No change in clinical outcome**



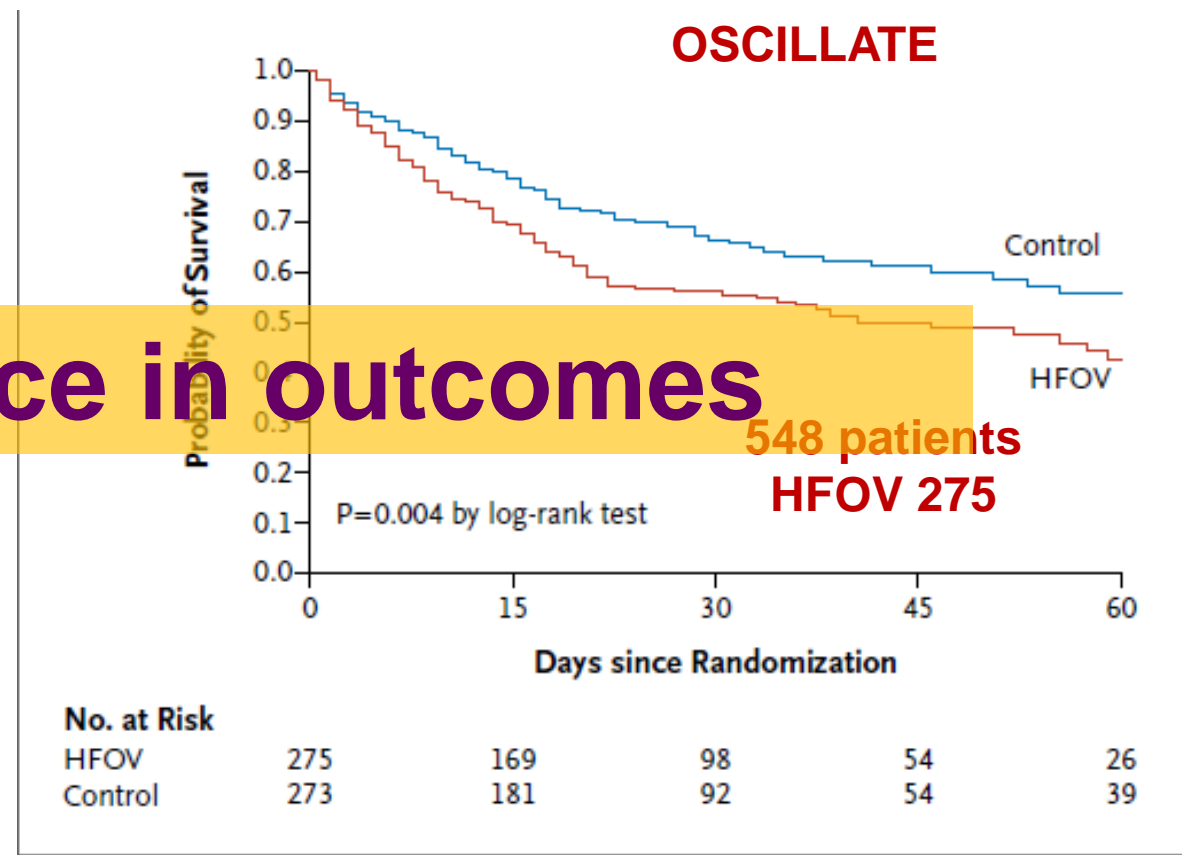
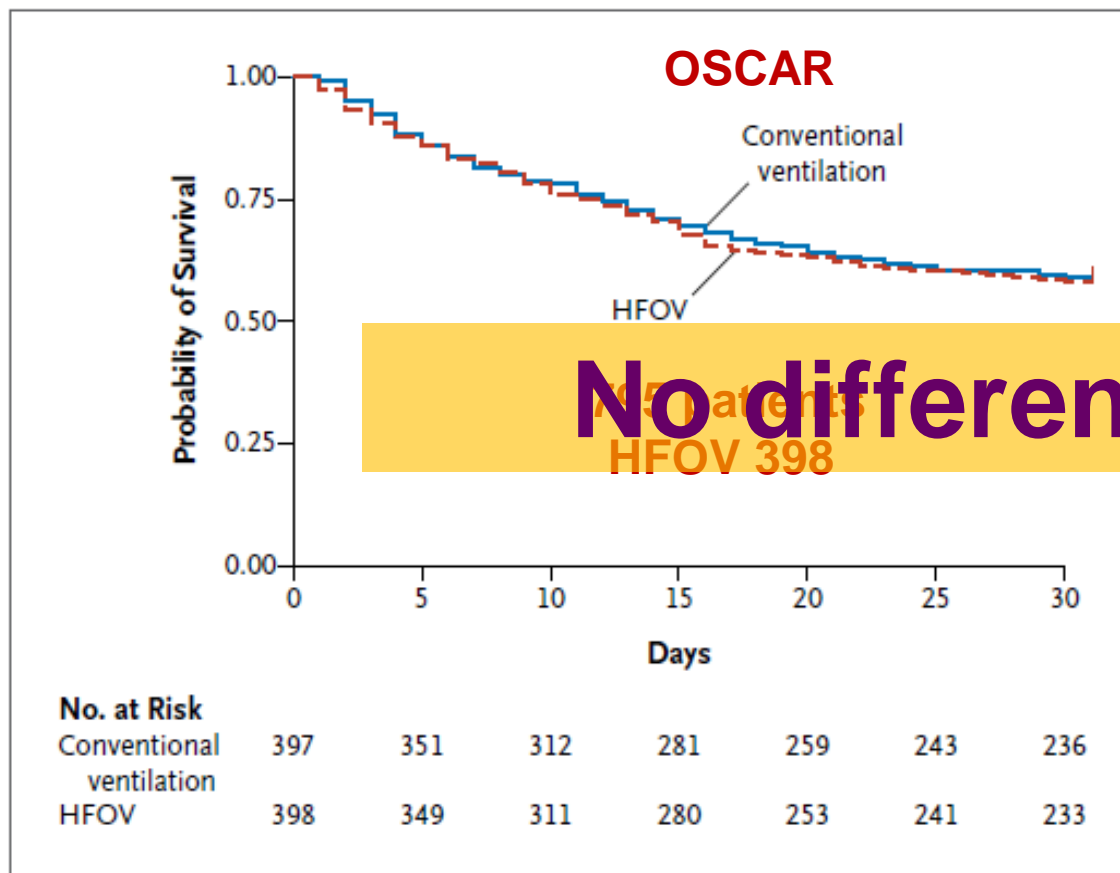
# HFOV

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- Considered the “ultimate” lung protective ventilatory mode
- Uses very small tidal volumes (1-2 ml/kg)
- At high respiratory rates (3-15 breaths/sec)
- Two trials OSCILLATE and OSCAR (NEJM 2013)



# HFOV



Young D, et al. High frequency oscillation for acute respiratory distress syndrome.. N Engl J Med 2013;

Ferguson ND et al. High frequency oscillation in early acute respiratory distress syndrome.. N Engl J Med 2013;





# The strategy – targeting what?

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- Volutrauma (over-distension)
- Barotrauma (trans-alveolar pressures)
- Atelectrauma (shearing force)
- Biotrauma (cytokines)



**EVIDENCE is DISAPPOINTING**



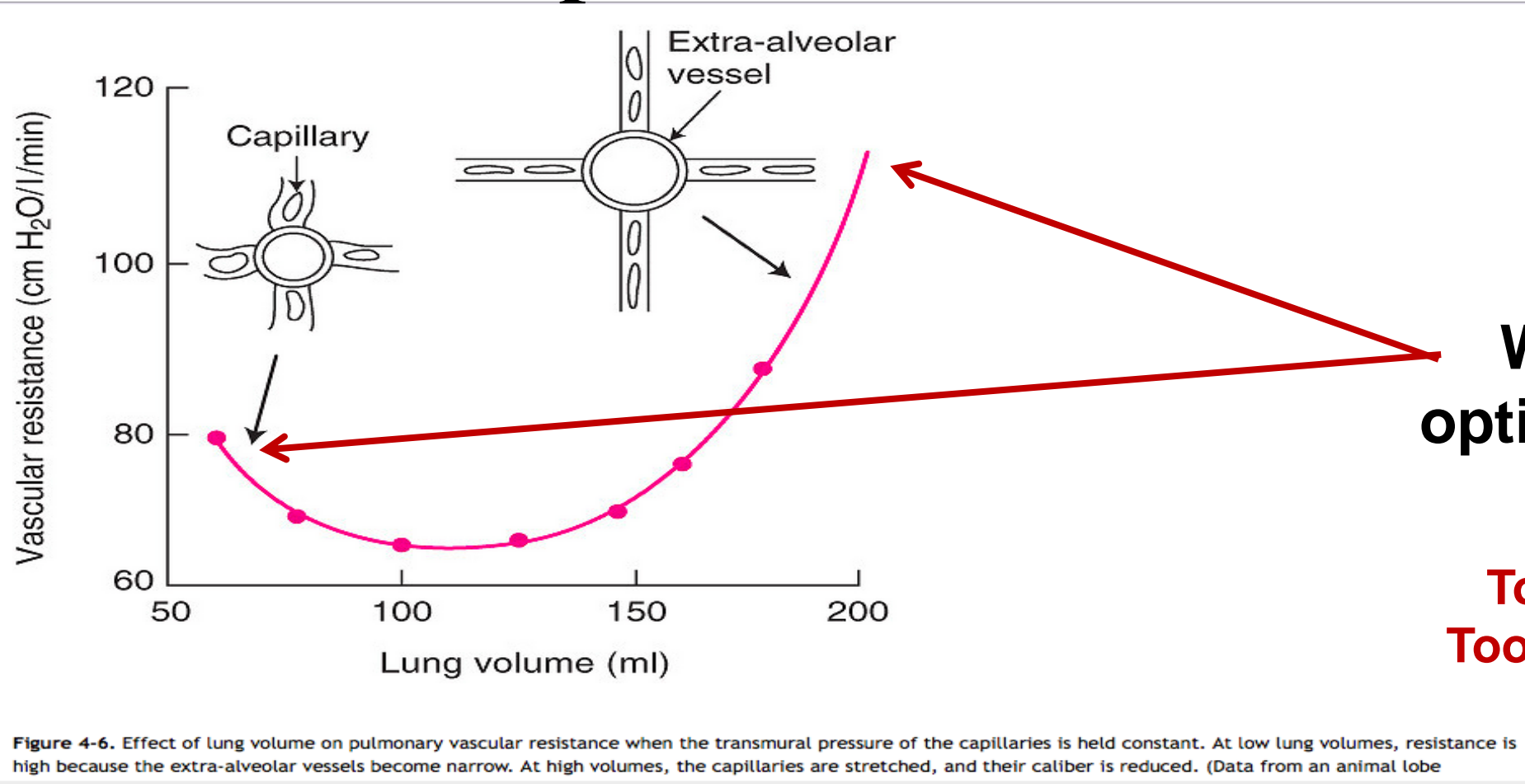
# Targeting volume?

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- ARDSNet trial 6 ml/kg superior to 12 ml/kg
- Lower volumes (4 ml) PReVENT planned in non-ARDS patients
- 3 ml/kg with ECCO2 removal improvement in VFD
- HFOV 1 ml/kg worse outcomes



# What is the optimal volume?



**What is the optimal volume?**

**Unclear**  
**Too much is bad**  
**Too little is also bad**

Figure 4-6. Effect of lung volume on pulmonary vascular resistance when the transmural pressure of the capillaries is held constant. At low lung volumes, resistance is high because the extra-alveolar vessels become narrow. At high volumes, the capillaries are stretched, and their caliber is reduced. (Data from an animal lobe)



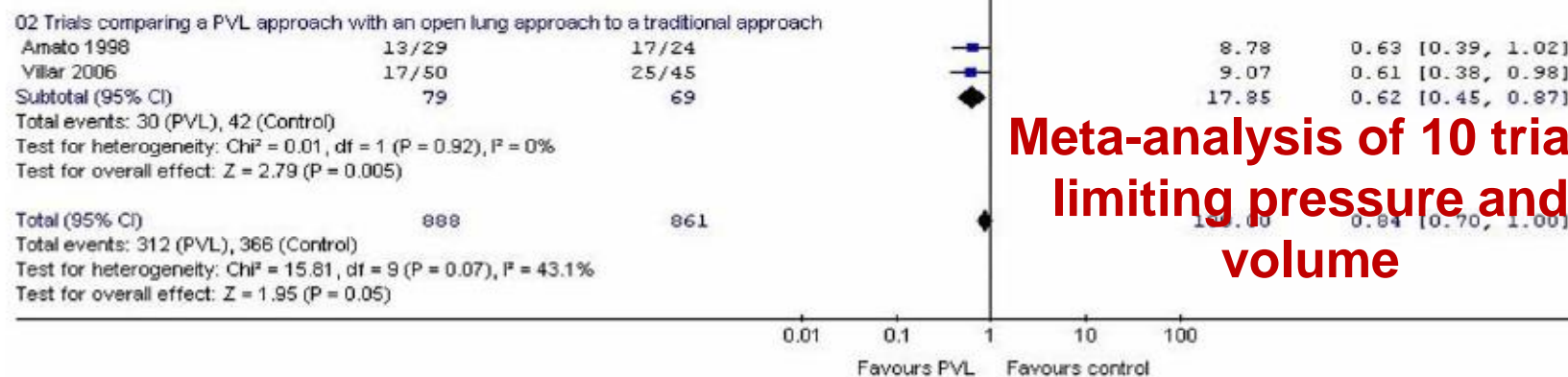
# Targeting pressure?

Traditionally target Pplat < 30 cm; higher mortality in one HFOV study attributed to high mean airway pressure with hemodynamic instability

Study or sub-category	PVL n/N	Control n/N	RR (random) 95% CI	Weight %	RR (random) 95% CI
<b>01 Trials comparing a simple PVL approach to a traditional approach</b>					
Brochard 1998	27/58	22/58	1.23 [0.80, 1.89]	10.05	1.23 [0.80, 1.89]
Stewart 1998	30/60	28/60	1.07 [0.74, 1.55]	11.89	1.07 [0.74, 1.55]
Nu 1999	12/37	15/34	0.59 [0.35, 1.02]	7.26	0.59 [0.35, 1.02]
Brown 1999	13/27	12/25	1.18 [0.77, 1.81]	10.35	1.18 [0.77, 1.81]
ARDS Network 2000	133/427	174/425	0.76 [0.63, 0.91]	19.94	0.76 [0.63, 0.91]
Orme 2003	15/60	27/60	0.56 [0.33, 0.93]	7.85	0.56 [0.33, 0.93]
Sun 2009	16/43	14/42	1.12 [0.63, 1.99]	6.76	1.12 [0.63, 1.99]

**Limiting pressure (< 30 cm) may be good**

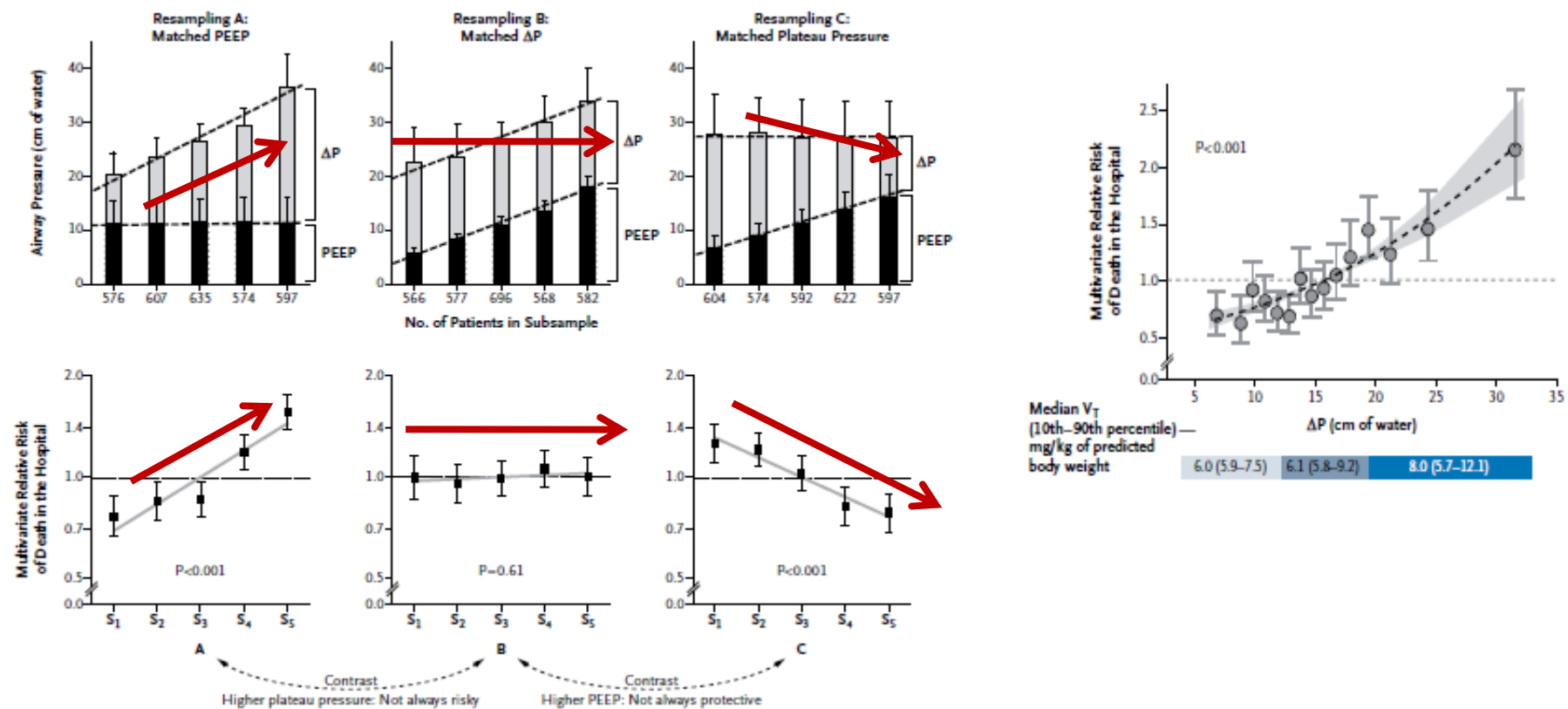
**Conclusions:** This systematic review suggests that PVL strategies for mechanical ventilation in ALI and ARDS reduce mortality and are associated with increased use of paralytic agents.



**Meta-analysis of 10 trials limiting pressure and volume**



# Specific pressure or driving pressure



Amato M, et al. Driving pressure and survival in the acute respiratory distress syndrome. N Engl J Med 2015; 372: 747-55



# Targeting atelectasis

Characteristic	Trial		
	ALVEOLI, <sup>8</sup> 2004	LOVS, <sup>9</sup> 2008	EXPRESS, <sup>10</sup> 2008
Inclusion criteria	Acute lung injury with PaO <sub>2</sub> :FI <sub>O</sub> <sub>2</sub> ≤300 <sup>a</sup>	Acute lung injury with PaO <sub>2</sub> :FI <sub>O</sub> <sub>2</sub> ≤250 <sup>a</sup>	Acute lung injury with PaO <sub>2</sub> :FI <sub>O</sub> <sub>2</sub> ≤300 <sup>a</sup>
Recruitment period	1999-2002	2000-2006	2002-2005
Recruiting hospitals (country)	23 (United States)	30 (Canada, Australia, Saudi Arabia)	37 (France)
Patients randomized to higher vs lower PEEP	276 vs 273	476 vs 509 <sup>b</sup>	385 vs 383 <sup>c</sup>
Blinded data analysis	Yes	Yes	Yes
Stopped early	Stopped for perceived futility	No	Stopped for perceived futility
Experimental intervention	Higher PEEP according to FI <sub>O</sub> <sub>2</sub> chart, recruitment maneuvers for first 80 patients	Higher PEEP according to FI <sub>O</sub> <sub>2</sub> chart, required plateau pressures ≤40 cm H <sub>2</sub> O, recruitment maneuvers	PEEP as high as possible without increasing the maximum inspiratory plateau pressure >20-30 cm H <sub>2</sub> O
Control intervention	Conventional PEEP according to FI <sub>O</sub> <sub>2</sub> chart, required plateau pressures ≤30 cm H <sub>2</sub> O, no recruitment maneuvers	Conventional PEEP according to FI <sub>O</sub> <sub>2</sub> chart, required plateau pressures ≤30 cm H <sub>2</sub> O, no recruitment maneuvers	Conventional PEEP (5-10 cm H <sub>2</sub> O) to meet oxygenation goals
Ventilator procedures	Target tidal volumes of 6 mL/kg of predicted body weight; plateau pressures ≤30 cm H <sub>2</sub> O (with exception as above); respiratory rate ≤35/min, adjusted to achieve arterial pH 7.30-7.45; ventilator mode: volume-assist control (except higher PEEP group in LOVS required pressure control); oxygenation goals: PaO <sub>2</sub> 55-80 mm Hg and SPO <sub>2</sub> 88%-95%; standardized weaning)		

**Conclusions** Treatment with higher vs lower levels of PEEP was not associated with improved hospital survival. However, higher levels were associated with improved survival among the subgroup of patients with ARDS.

**PEEP above lower inflection point?**

Briel M, et al. Higher versus lower positive end expiratory pressure in patients with acute lung injury and acute respiratory distress syndrome. Systematic review and meta-analysis. JAMA 2010; 303; 865-93



# Conclusions

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- Lung injury due to ventilation not uncommon
- VALI and VILI are two dimensions of injury
- Mechanisms – Volutrauma, barotrauma, atelectrauma, biotrauma
- Type of mode does not appear to influence outcome
- Limiting volume and pressure, optimal PEEP important to prevent



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**Thank You**